

2611  
NY  
8-20-02

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b))

Docket No.  
3698DS

In Re Application Of: John Hendricks

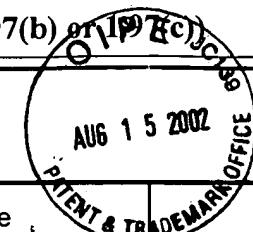
Serial No.  
09/964,890

Filing Date  
September 28, 2001

Examiner  
N/A

Group Art Unit

Title: Interactive Electronic Program Guide for use with Television Delivery System



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Technology Center 2600

37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

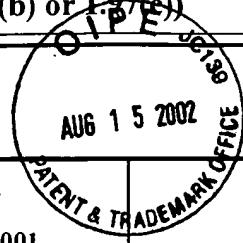
37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
  - the statement specified in 37 CFR 1.97(e);
  - OR
  - the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(e))

Docket No.  
3698D5

In Re Application: John Hendricks



Serial No. 09/964,890	Filing Date September 28, 2001	Examiner N/A	Group Art Unit 2611
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Interactive Electronic Program Guide for use with Television Delivery System

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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

A check in the amount of \_\_\_\_\_ is attached.

The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.

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I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Signature

Sung Kim

Reg. No. 45,398

DORSEY & WHITNEY LLP

1660 International Drive

Suite 400

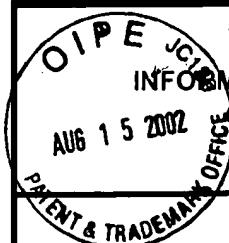
McLean, VA 22102

(703) 288-5240

(703) 288-5260 facsimile

CC:

Dated: August 15, 2002


**INFORMATION DISCLOSURE CITATION**
*(Use several sheets if necessary)*

ATTY DOCKET NO.

3698D5

SERIAL NO.

09/964,890

John Hendricks

FILING

September 28, 2001

GROUP

2611

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
1.	5,416,508	5/16/1995	Kazushi Sakuma, et al.	725	139	
2.	5,353,121	10/4/1994	Patrick Young, et al.	725	52	RECEIVED
3.	5,404,393	4/4/1995	Roger Remillard	379	93.25	AUG 19 2002
4.	5,223,924	6/29/1993	Hugo Stubbe	725	46	Technology Center 2600
5.	5,479,268	12/26/1995	Patrick Young, et al.	386	83	
6.	5,561,708	10/1/1996	Roger Remillard	379	93.19	
7.	5,561,709	10/1/1996	Roger Remillard	379	93.19	
8.	5,663,757	9/2/1997	Fernando Morales	725	5	
9.	5,990,927	11/23/1999	John Hendricks, et al.	725	132	
10.	5,477,262	12/19/1995	Robert Baker, et al.	725	38	
11.	5,850,218	12/15/1998	Mike LaJoie, et al.	725	45	

**FOREIGN PATENT DOCUMENTS**

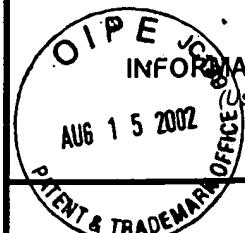
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
1.	WO 97/13368	4/10/1997	WIPO				
2.	WO 94/14282	6/23/1994	WIPO				
3.	WO 94/13107	6/9/1994	WIPO				

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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(Use several sheets if necessary)

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**FILING**

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**GROUP**

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## U.S. PATENT DOCUMENTS

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**DATE CONSIDERED**

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